

### **Appendix 3.2 The Safeguarding Enquiry and Parallel Processes**

<b>Parallel Processes</b>	<b><i>Relevant powers</i></b>	<b>Responsible Body</b>
<b>Criminal</b>	<i>Criminal law</i>	Police
<b>Regulatory</b>	<i>Health and Social Care Act 2008 (Regulated Activities) Regulations 2009, Care Quality Commission (Registration) Regulations 2009</i>  <i>Care Act 2014</i>  <i>Health and Social Care (Community Health and Standards) Act 2003</i>  <i>Statutory Instruments</i>  <i>Health and Safety legislation</i>  <i>Safeguarding Vulnerable Groups Act 2006</i>	CQC       Professional Bodies (e.g. Nursing and Midwifery Council (NMC); Healthcare Professionals Council (HCPC); General Medical Council (GMC) etc.     Stoke-on-Trent City Council, relevant District Councils or Health and Safety Executive (HSE)  Disclosure and Barring Service (DBS)
<b>Disciplinary</b>	<i>Employment law</i>	Agency Manager and or HR officer
<b>Contractual</b>	<i>Contract details and law</i>	Commissioning and Contract Monitoring Teams
<b>Care assessments</b>	<i>Care Act 2014</i> <i>Mental Health Act 1983</i> <i>Mental Capacity Act 2005</i> <i>Liberty Protection Safeguards (LPS)</i>	Social Care Teams including those delegated to NHS Trusts or other agencies.
<b>Complaints</b>	<i>Complaints Policies</i>	Allocated investigating officer of agency against who complaint has been made  Local Government Ombudsman

<b>Fraud</b>	<i>Theft Act 1968</i> <i>Fraud Act 2006</i>	Police Local Counter Fraud Specialist (NHS) Department of Work and Pensions Trading Standards Office of the Public Guardian (OPG) – where allegations relate to holders of Enduring Power of Attorney (EPA), Lasting Power of Attorney (LPA) Deputyship
<b>Patient Safety Incident Reporting Framework (PSIRF)</b>  <b>Root Cause Analysis</b>	<i>NHS Clinical Governance advice</i>	Relevant NHS Provider Trust
<b>Safeguarding Adult Review (SAR)</b>	<i>Care Act 2014</i>	Local Safeguarding Adults Board (SAB)